

[Total No. of Questions - 6] [Total No. of Printed Pages - 3]
(2125)

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MBA 4th Semester Examination
Management of Financial Services (NS)
FM-06

Time : 3 Hours

Max. Marks : 60

The candidates shall limit their answers precisely within the answer-book (40 pages) issued to them and no supplementary/continuation sheet will be issued.

- Note :** (i) Section A Comprises of Ten (10) short answer type questions carrying 2 marks each. In this section all the questions are Compulsory.
- (ii) Section B consists of six (06) short answer type questions carrying 5 marks each. Out of which student has to attempt any four.
- (iii) Section C consists of four (04) essay type questions carrying 10 marks each. Out of which student has to answer any two.

SECTION - A

1. Write short notes on:

- (i) Underwriting.
- (ii) Mutual funds.
- (iii) Distinguish between leasing and hire purchasing.
- (iv) Types of insurance.
- (v) Open ended mutual funds.

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- (vi) Online vs. Offline trading.
- (vii) Depository Participants.
- (viii) Stock Exchange.
- (ix) Venture capital.
- (x) Disadvantages of credit rating. (2×10=20)

SECTION - B

2. Explain briefly:

- (i) How liberalisations effect the Insurance Industry in India?
- (ii) What are the various risks involved in investing in a Mutual Fund?
- (iii) What is dematerialisation of securities? Explain the process of dematerialisation.
- (iv) What are the recent financial innovations which have taken place in financial services?
- (v) Explain the various stages in which a venture capital firm finances the requirements of the companies which approach it for financing.
- (vi) If you are a merchant banker, what issues would you consider before accepting a public issue proposal of a company? (5×4=20)

SECTION - C

3. Discuss the nature, scope and types of insurance. What is the rationale for opening up of the insurance sector for private participation?

4. Who is a “merchant banker”? Enumerate the services provided by a merchant banker. Critically analyse the regulatory framework for merchant bankers in India.
5. What is meant by credit rating? Explain its functions and benefits. Also explain the methodology adopted by rating agencies for credit rating.
6. (i) What is Mutual fund? Discuss its organization and types.
(ii) Write a brief essay on the depository services in India.
(10×2=20)